Tool-

Mood and Behavior

Policy and Procedure Checklist

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**Tool: Mood and Behavior Policy and Procedure Checklist**

**483.40 Behavioral Health Services**

Each resident must receive and the facility must provide the necessary behavioral health care and services to attain or maintain the highest practicable physical, mental and psychosocial well-being, in accordance with the comprehensive assessment and plan of care. Behavioral health encompasses a resident’s whole emotional and mental well-being, which includes, but is not limited to, the prevention and treatment of mental and substance use disorders.

**483.40(d)** **F250**: The facility must provide medically-related social services to attain or maintain the highest practicable physical, mental and psychosocial well-being of each resident.

**Purpose and Intent of 483.24(a)(3)**

The purpose of the Resident Mood and Behavior policy and procedure is to develop a process to attain and/or maintain the highest practicable physical, mental and psychosocial well-being by utilizing the comprehensive assessment to develop a person centered, individualized plan of care to meet the psychosocial needs of the resident.

To assure that the individual facility has followed all the required steps for the development and implementation of a comprehensive Resident Mood and Behavior policy in accordance to the new Requirements of Participation (RoP), the following checklist captures specific action items for successful completion. The left column represents the actual Requirements of Participation (RoP) language and the right column indicates specific leadership strategies for successful completion and implementation of the revised RoP. When preparing updated policies and procedures, it is recommended to include actual RoP language as applicable. Please note that CMS has not issued its interpretative guidance for the new Requirements of Participation (RoP), therefore additional updates may be necessary once the guidance is released.

**Suggested Checklist:**

**Program and Policy and Procedure**

| **Regulation**   | **Recommended Actions**  |
| --- | --- |
| **483.40 Behavioral Health Services** Each resident must receive and the facility must provide the necessary behavioral health care and services to attain or maintain the highest practicable physical, mental and psychosocial well-being, in accordance with the comprehensive assessment and plan of care. Behavioral health encompasses a resident’s whole emotional and mental well-being, which includes, but is not limited to, the prevention and treatment of mental and substance use disorders. | * Review, revise and institute a Resident Mood and Behavior Policy and Procedure in accordance with the (RoP).  See regulatory requirements as well as template policy and procedure.
* Update definitions and new terms including Resident Representative, Care Coordination, Person-Centered Care, behavior and mood definitions per the RAI manual
* Update Behavior Management Committee policies and related policies as indicated.
* Update staff education materials for orientation, annual education, and agency staff orientation, as needed.
* Educate all staff on the Resident Mood and Behavior Policy and Procedure.
* Re-educate all IDT team members completing the RAI process on the Resident Mood and Behavior requirements in order to track, trend and obtain individualized assessment information to develop a person-centered care plan
* Review and incorporate the PASARR policy and procedure to incorporate the recommendations from the PASARR level II determination and evaluation in the residents’ assessment, care plan, and transition of care; and referring all level II residents and all residents with new or evident conditions related to Level II review upon significant change in status assessment.
* Conduct updated training for social services, nursing leaders, and interdisciplinary team members related to the updated mood and behavior policy and their respective roles and responsibilities related to this policy.
* Update and review reference material for staff to utilize.
* Identify availability and access of emergency procedures for Resident Mood and Behavior.
* Review Behavior Tracking tools to align with updated definitions.
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| **483.40(d)** **F250**: The facility must provide medically-related social services to attain or maintain the highest practicable physical, mental and psychosocial well-being of each resident | * Review Social Services Policies and Procedures to include: updated definitions and new terms including Resident Representative, Care Coordination, Person-Centered Care, behavior and mood definitions per the RAI manual; RAI process responsibilities related to mood and behavior; respective role in the Behavior Management Committee as well as social service documentation related to behavior tracking.
* Review social services job description to include medically related social services roles and responsibilities.
* Review update social services roles and responsibilities related to incorporating the PASARR policy and procedure to incorporate the recommendations from the PASARR level II determination and evaluation in the residents’ assessment, care plan, and transition of care; and referring all level II residents and all residents with new or evident conditions related to Level II review upon significant change in status assessment.
* Conduct updated training for social services team members related to the updated mood and behavior policy and their respective roles and responsibilities related to this policy.
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The below areas serves as a cross reference for facility leaders to conduct addition policy and procedure review across departments to incorporate the changes set forth in **§ 483.40 Behavioral Health Services and 483.40(d) Medically Related Social Services.** This listing is not all encompassing however should serve as a resource for leaders as they update their internal policies, procedures and operational processes.

**Cross Reference**

Resident Rights

CMS Definitions

Resident Mood and Behavior Policy and Procedures

Behavior Management Committee

Behavior Tracking

Employee Orientation

Annual Training Requirements

Comprehensive Assessment

Person Centered Care Plan

Quality Assurance and Performance Improvement

Staff Training and Education

Psychosocial Services

Behavioral Health

Medically Related Social Services

Room Change

Discharge Planning, Care Plan and Transfer Discharge Policies

PASARR

Physician Services

Admission Policy and Procedure

Medical Director Services

Pharmacy Services

Unnecessary Medications

Facility Wide Resource Assessment (Phase II)

QAPI (Phase I, II, and III)