

Appendix 16: Q8. State-specific Measure

State Performance Indicators will provide a resource to Regional Offices and State Survey Agencies that may help to better understand how State Survey Agencies perform in real time. These indicators would be specific to State Survey Agencies and mapped to Scoring Measures. Regional Offices and State Survey Agencies will select one or more of these measures as their State-specific measure to be continually monitored and evaluated for improvement over a 2-year cycle. The lists of measures identified on Tables 9 through 12 represent a first wave of state performance indicators with additional waves to be released during this fiscal year.

Table 9. State Performance Indicators related to the Quality of Standard Surveys

State Performance Indicator	Definition
Standard deficiency citation rate [‡]	Number of deficiencies by the total number of standard surveys
Percent of deficiency-free standard surveys [‡]	Number of standard surveys that did not have any citations by the total number of standard surveys
Proportion of standard survey citations by scope and severity [†]	Number of standard survey citations grouped by scope and severity level
Proportion of citations at the condition level [†]	Number of standard survey condition level citations compared to all citations
Discharge citations per 100 surveys [†]	Total number of discharge citations on standard surveys
Abuse citations per 100 surveys [†]	Total number of abuse citations on standard surveys

Notes: State performance indicators will be provided individually for each of the following provider types unless otherwise indicated: nursing homes, ESRDs, HHAs, Hospice, Hospitals, and ICF/IIDs.

[†]State performance indicators specific to nursing homes only.

[‡] For nursing homes, these indicators will be reported in the SOAR report. They will also be reported for non-nursing homes.

Table 10. State Performance Indicators related to the Quality of Intake Surveys

State Performance Indicator	Definition
Distribution of intakes	Proportion of intakes that are FRIs versus the proportion that are complaints
Intake rate by active providers for non-nursing homes	Total number of intakes divided by total number of active providers
Intake rate by facility census by complaint and FRI for nursing homes	Total number of intakes by facility census or size by complaint and FRI
Distribution of intake prioritization	Percentage of intakes grouped by Intake Priority level (IJ, non-IJ high, non-IJ medium, and non-IJ low)
Citations rates of intakes for IJ and non-IJ priority categories	Number of citations by number of intakes for intakes prioritized as IJ and prioritized as non-IJ
Percent of IJ and non-IJ High intake surveys not completed within 5 or 10 days	Percentage of surveys not completed within 5 or 10 days for intakes prioritized as IJ and for intakes prioritized as non-IJ High

Notes: State performance indicators will be provided individually for each of the following provider types unless otherwise indicated: nursing homes, ESRDs, HHAs, Hospice, Hospitals, and ICF/IIDs.

Table 11. State Performance Indicators related to the Quality of Complaint Surveys

Complaint deficiency citation rate	Number of deficiencies by the total number of complaint surveys
Percent deficiency-free complaint surveys	Number of complaint surveys that did not have any citations by the total number of complaint surveys
Proportion of complaint survey citations by scope and severity [†]	Number of complaint survey citations grouped by scope and severity level
Proportion of complaint citations at the condition level	Number of complaint survey condition level citations compared to all citations
Percentages of complaint surveys with 3 or more IJs cited*	Percentages of complaint surveys with 3 or more IJs cited out of all surveys when an IJ is cited

Notes: State performance indicators will be provided individually for each of the following provider types unless otherwise indicated: nursing homes, ESRDs, HHAs, Hospice, Hospitals, and ICF/IIDs.

[†]State performance indicators that are specific to nursing homes only.

*For nursing homes, this indicator will be reported on the Enforcement report.

Table 12. State Performance Indicator in the Coordination of Provider Noncompliance Domains.

State Performance Indicator	Definition
Enforcement case transfer	Percentage of cases transferred to CMS for enforcement per policy (e.g., immediate imposition of remedies*, discharge violations, unnecessary medications (antipsychotics))

Notes: State performance indicators will be provided individually for each of the following provider types unless otherwise indicated: ESRDs, HHAs, Hospice, Hospitals, and ICF/IIDs.

*See the SOM Chapter 7 section 7304 for specific criteria required.